

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 11

Complete if Known

Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
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	3	US-5,161,103	11-03-1992	Kosaka et al.	
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	5	US-5,745,885	04-28-1998	Mottola et al.	
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	8	US-5,774,883	06-30-1998	Anderson et al.	
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	23	US-6,151,582	11-21-2000	Huang et al.	
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		Country Code Number Kind Code (if known)				
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	49	JP 2001-209721	08-03-2001	Inagaki		Abstract
	50	WO 2001/22253	03-29-2001	DKG		
	51	WO 1998/14902	04-09-1998	The Quantum Consultancy Group (Proprietary) Ltd.		
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	60	DEB, K. "Solving Goal Programming Problems Using Multi-Objective Genetic Algorithms" <i>Proceedings of the Congress on Evolutionary Computing</i> , July 6-9, 1999; pp. 77-84.	
	61	EPSTEIN, E. "Converging Future" <i>InfoWorld News</i> , January 7, 2000. Retrieved from the Internet: http://sandbox.exor.com ; 8 pgs.	
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	65	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Decision to refuse a European Patent Application, with Annex to the communication; Date: March 17, 2005.	
	66	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Minutes of the oral proceedings before the Examining Division; Date of Proceedings: February 17, 2005, Date of Minutes: March 17, 2005.	
	67	European Patent Application No. 01927371.3 (Publication No. EP 1269386), Summons to attend oral proceedings, with Annex to the communication; Date: October 27, 2004.	
	68	European Patent Application No. 02759326.8 (Publication No. EP 1419468), Communication pursuant to Article 96(2) EPC, with Annex to the communication; Date: September 19, 2005.	
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	71	European Patent Application No. 02765975.4 (Publication No. EP 1423772), Supplementary Search Report; Date of Mailing: August 2, 2006.	

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/929,610
				Filing Date	August 13, 2001
				First Named Inventor	Ronald E. Sloan
				Art Unit	3693
				Examiner Name	Borlinghaus, Jason M.
				Attorney Docket Number	10761.0186-02
Sheet	4	of	11		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation	
	72	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication pursuant to Article 96(2) EPC, with Annex to the communication; Date: February 10, 2005.		
	73	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Communication regarding the declaration under Rule 45 EPC, with Annex to the communication; Date: July 1, 2004.		
	74	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Decision to refuse a European Patent application; Date: January 21, 2008.		
	75	European Patent Application No. 02765976.2 (Publication No. EP 1423813), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: November 27, 2007; Date of Minutes: January 21, 2008.		
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	80	European Patent Application No. 02802758.9 (Publication No. EP 1435033), Minutes of the oral proceedings before the Examining Division, with Annexes to the communication; Date of Proceedings: June 17, 2009, Date of Minutes: June 30, 2009.		
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	87	International Patent Application No. PCT/US00/30389 (Publication No. WO 01/33467), International Preliminary Examination Report; Date of Completion: August 15, 2002.		
	88	International Patent Application No. PCT/US00/30423 (Publication No. WO 01/33402), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: November 5, 2001.		
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	90	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: February 5, 2002.		
	91	International Patent Application No. PCT/US00/41850 (Publication No. WO 01/37187), International Preliminary Examination Report; Date of Completion: September 7, 2002.		
	92	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: September 25, 2001.		
	93	International Patent Application No. PCT/US00/41852 (Publication No. WO 01/33474), International Preliminary Examination Report; Date of Completion: July 26, 2002.		
	94	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: August 23, 2001.		
	95	International Patent Application No. PCT/US00/41855 (Publication No. WO 01/67334), International Preliminary Examination Report; Date of Completion: February 10, 2002.		
	96	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: May 28, 2003.		
	97	International Patent Application No. PCT/US00/41872 (Publication No. WO 01/33476), International Preliminary Examination Report; Date of Completion: April 8, 2005.		
	98	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), Declaration of Non-Establishment of International Search Report Under PCT Article 17(2)(a); Date of Mailing: June 15, 2001.		
	99	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), International Preliminary Examination Report; Date of Completion: October 16, 2003.		
	100	International Patent Application No. PCT/US01/40213 (Publication No. WO 01/65907), Written Opinion; Date of Mailing: April 4, 2003.		
	101	International Patent Application No. PCT/US01/40214 (Publication No. WO 01/67340), International Preliminary Examination Report; Date of Completion: July 28, 2002.		
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	105	International Patent Application No. PCT/US02/25489 (Publication No. WO 03/14884), International Preliminary Examination Report; Date of Completion: July 2, 2003.		
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	109	International Patent Application No. PCT/US02/25492 (Publication No. WO 03/39227), International Preliminary Examination Report; Date of Completion: November 2, 2003.	
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	145	Office Action issued in U.S. Patent Application No. 09/520,940 - Decision on Appeal by the Board of Patent Appeals and Interferences; Decided: April 23, 2007.	
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	155	Office Action issued in U.S. Patent Application No. 09/520,943, Decision On Appeal Before the Board of Patent Appeals and Interferences, Appeal No. 2007-0868; Decided: February 28, 2008.	
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	157	Office Action issued in U.S. Patent Application No. 09/520,943, Examiner Interview Summary; Date Mailed: September 19, 2006.	
	158	Office Action issued in U.S. Patent Application No. 09/520,943, Examiner's Answer Before the Board of Patent Appeals and Interferences; Date Mailed: November 7, 2005.	

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First Named Inventor	Ronald E. Sloan
Art Unit	3693
Examiner Name	Borlinghaus, Jason M.
Attorney Docket Number	10761.0186-02

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS); title of the article (when appropriate); title of the item (book, magazine, journal, serial, symposium, catalog, etc.); date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
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Application Number	09/929,610
Filing Date	August 13, 2001
First Named Inventor	Ronald E. Sloan
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Examiner Name	Borlinghaus, Jason M.
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